

Your Structure, Your Wealth

## INVESTMENT ACCOUNT OPENING FORM CORPORATE ACCOUNT

### FOR CORPORATIONS, PARTNERSHIPS, ASSOCIATIONS, NGOS SOLE PROPRIETORSHIPS, INVESTMENT CLUBS, TRUSTS

FOR OFFICE USE ONLY				
Account Number				
Initial Investment A	mount			

#### **ACCOUNT OPENING**

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#### REQUIREMENTS FOR CORPORATE ACCOUNTS 1. Account Opening form fully completed 2. Sole Proprietorship: Business License and Certificate of Commencement if any 3. Partnership: Business License and Partnership Agreement 4. Corporations: Corporate Resolution, Certificate of Incorporation and Certificate of Commencement Investment Clubs, Associations and Trusts: Introduction of Group on Letterhead; club/Association resolution 5. specifying authorizing members of the club 6. NGOS: NGO Registration and License / Certificate Signatories to the account must be clearly identified (minimum of two signatories) 7. Two recent passport-size photographs of each signatory to the account (with name and signature affixed at the 8. back of the photograph) Provision of valid national identity of each signatory to the account (Passport, Driver's License, Voter's ID card, NHIS 9. card) 10. Minimum Initial Investment Amount 11. Two recent passport-sized photographs of at least two directors

NISK PROFILE AND INVESTMENT OBJECTIVE INQUINT FORM					
The ability to accept investment risk is determined by your investment goals, investment time horizon, spending requirements, liquidity needs and income expectations.					
Investment Time Horizon					
An important consideration is your investment time horizon $-$ the length of time you will remain fully invested.					
How long do you plan to hold this investment portfolio?					
0- 1 year 2-3 years 3-5 years More than 5 years					
Do you have an emergency fund? (Savings of three to six months after-tax income)					
No Yes, but less than six months after-tax income Yes, I have adequate emergency funds					
Income Needs					
Your current need for income from your portfolio is an important factor in designing your portfolio. How much will you need to withdraw from your portfolio each year?					
0% 0 -2% 2 - 4% 4 - 5% Over 5%					
Your past investment experience can help determine your attitude towards investment risk					
Has your institution made prior investment before?					
No, and were uncomfortable with the risk if we did					
No, but we would be comfortable with the risk if we did					
Yes, but we were uncomfortable with the risk					
Yes, and we felt comfortable with the risk					
Volatility and reactions to Fluctuations					
How would you react if an investment you had committed to lost 10% of its value during the first year?					
We would maintain a long-term focus with the investments and wouldn't change investment plan					
We would be very concerned, but probably wouldn't change investment plan					
We would be concerned and may consider changing investment plan					
We are not sure what we would do					
Risk Tolerance: High Medium Low					
Signature					

#### APPLICATION FOR THE OPENING OF A CORPORATE ACCOUNT

INSTITUTION INFORMATION				
Name of company				
Nature of business				
Registration number				
Country of incorporation				
Date of incorporation/registration				
Account name				
Address for correspondence:				
Business address:				
Corporate telephone no				
Fax				
Email				
Annual turnover, if applicable (GH Cedis): 0-10,000 10,001-50,000 50,001-100,000				
100,000-200,000 Over 200,000 Over 200,000				
List of directors:  Name 1				
Name 2				
Name 3				
Name 4				
Name 5				
Name 6				
Name 7				
Name 8				
Name 9				
Name 10				
Name of introducer/agent				
Number of signatories (minimum of two)				

#### SIGNATORY PERSONAL INFORMATION **AFFIX AFFIX PASSPORT PASSPORT PICTURE PICTURE** HERE HERE Title Surname First & other names Marital status: Single Married Divorced Other Date of birth Male Gender: Female Country of residence Nationality Postal address Residential address Email Contact number Position held in company Valid ID type: Voter's ID NHIS Card National ID Passport Other, please specify ID number Expiry date Signature Date Title Surname First & other names Marital status: Married Divorced Other Single Date of birth Gender: Male Female Nationality Country of residence Postal address Residential address Email Contact number Position held in company Valid ID type: Voter's ID **Passport NHIS Card** National ID Other, please specify

DD

Expiry date

Date

ID number

Signature

#### SIGNATORY PERSONAL INFORMATION **AFFIX AFFIX PASSPORT PASSPORT PICTURE PICTURE** HERE HERE Title Surname First & other names Marital status: Single Married Divorced Other Male Date of birth Gender: Female Country of residence Nationality Postal address Residential address Email Contact number Position held in company Valid ID type: Voter's ID **NHIS Card** National ID Passport Other, please specify ID number Expiry date Signature Date Title Surname First & other names Marital status: Married Divorced Other Single Date of birth Gender: Male Female Nationality Country of residence Postal address Residential address Email Contact number Position held in company

Valid ID type:

ID number

Signature

Other, please specify

Voter's ID

**Passport** 

**NHIS Card** 

National ID

Expiry date

Date

DD

#### TERMS AND CONDITIONS OF 10TH CAPITAL INVESTMENT LIMITED INVESTMENT ACCOUNT

- 1. 10th Capital Investments Ltd will manage the fund within the agreed mandate we have established with your Investment Objectives and risk profile.
- 2. Redemption of the funds at maturity do not attract any charge, however a partial or full redemption before maturity will attract a discounting charge that is dependent on the re-discount rate.
- 3. 10th Capital Investments Ltd disclaims any liability for funds deposited by you which are subsequently found to have been derived from illegal sources or activities. You hereby confirm that the funds deposited are not derived from any illegal sources or activities and therefore indemnify 10TH Capital against any liability or loss incurred as a result of the funds deposited with us.
- 4. Power of Attorney/Letters of Authorization/Authority Notes duly signed by you are required in order for transactions to be conducted by an individual on your behalf
- 5. We would like to assure you that the details of your investments with us are kept confidential. Your Privacy is a priority which we respect and maintain.
- 6. 10<sup>th</sup> Capital Investments Limited will charge a management fee: Front-load Back-end

DECLARATION					
We have carefully read the Terms and Conditions for opening an Investment account with 10th Capital Investments Ltd, an declare my/our acceptance of all conditions preserved in this document. We also certify that the information herein provide and the documents supplied are true to the best of our knowledge.					
Name	Position				
Signature		Date DDMMMYYYY			
Name	Position				
Signature		Date DDMMMYYYYY			
Name	Position				
Signature		Date DDMMMYYYY			
Name	Position				
Signature		Date DDMMMYYYYY			
NB: 10th Capital reserves the right to liquidate accounts, balances that fall below fifty Ghana Cedis. (GH¢50.00).					

# Name Signature Date Account Opened by Document Reviewed Account Authorized by Document Authorized by Document Reviewed Document Reviewed